# Preregistration Essentials: What It Is, Why It Matters, and How to Do It





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### Definition

A preregistration is a time stamped, read-only\* declaration that a study is going to occur, posted in a public\*\* registry.



Similar terms: registration, prospective registration, pre-analysis plans.

<sup>\*</sup>Most registries allow for updates to plans, with notes about when and how they were changed.

<sup>\*\*</sup>Some registries allow for a private embargo period.

# Overview of preregistration

Pre-2000s problem: The government funds thousands of medical studies, but no central registry exists to connect patients with cutting edge treatments.

NIH U.S. National Library of Medicine

Clinical Trials.gov

In addition to helping to open the file drawer, prereg in basic research can increase transparency and distinguish planned from unplanned work.



## How prereg fits into open research

Ideal: One preregistration per "study." If a paper has

multiple studies, multiple preregs is OK.

Reality: One preregistration per data-collection effort.

Complex Reality: Create a preregistration before data collection (or

accessing a dataset) and if multiple papers result from

it easy for the reader to trace the history of the project.

# **Preregistration Templates**

- There are currently 11 templates on the OSF.
- A template helps make sure you have included sufficient information for the purpose of the preregistration.
- They vary from barebones to very thorough.

# **Preregistration Templates**

#### OSF Preregistration

Default. Thorough. Designed for many research types.

#### Preregistration template from AsPredicted.org

 Designed to contain just enough information to meet the common standards.

#### Generalized Systematic Review

For all types of systematic reviews (not just health)

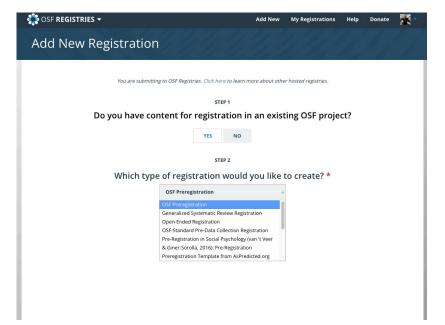
#### Secondary Data

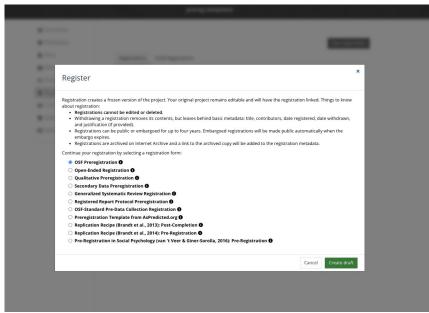
When you are using an existing dataset.

#### Qualitative Preregistration

Qualitative or mixed methods.

# **Preregistration Templates**





# Significance in Enhancing Research



### The Research Process

A garden of forking paths

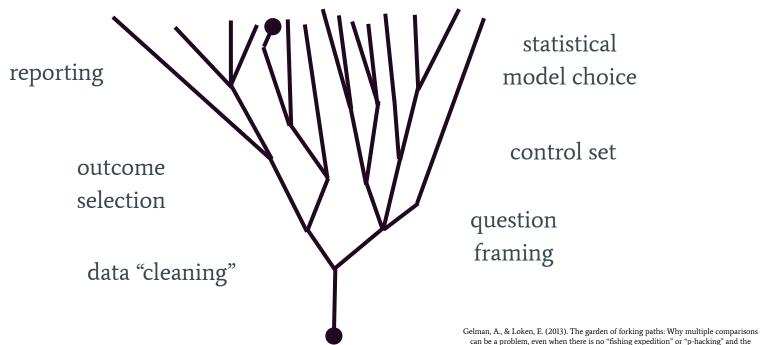


Result: People with X were found to be Y times more likely to have Z (p=.044)

Question

### The Research Process

A garden of forking paths



research hypothesis was posited ahead of time. Department of Statistics, Columbia University. Retrieved from

http://www.stat.columbia.edu/~gelman/research/unpublished/p\_hacking.pdf

# Exploration vs. Confirmation

#### Confirmation **Exploration** Data-driven Hypothesis-driven Discovery oriented Traditional (frequentist) hypothesis testing Push knowledge into new Higher standards for rigorous areas evaluation Find unexpected relationships More direct decision impact Model and theory-informing

### Research consumer

Is what you see the whole story?

Result: People with X were found to be Y times more likely to have Z (p=.044)

Where did this result come from?

Was this analysis as planned, or was it changed in some way?

Was it part of a fishing exercise, p-hacked, or HARKed?

How can we use this result if we can't know where it came from?

# Research producer

### How preregistration can help

#### **Enhanced planning**

- Comprehensive view of study
- Prevents unforced errors discovered too late

#### **Enhanced feedback**

- Feedback when it can change the most
- Clarity on what is being critiqued

#### **Credibility improvement**

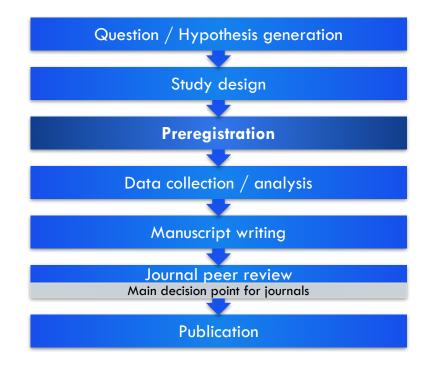
• ...sometimes, particularly for confirmatory tests

#### **Research protection**

- Scoop or anti-scoop?
- Record of work in case of research cancellation

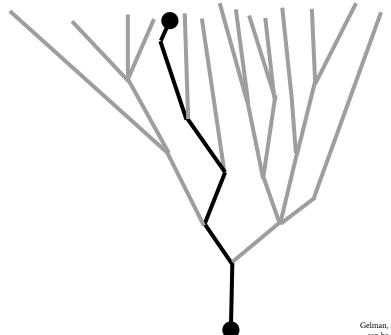
#### **Limiting temptation for QRPs**

Default to sticking to the plan



### The Process

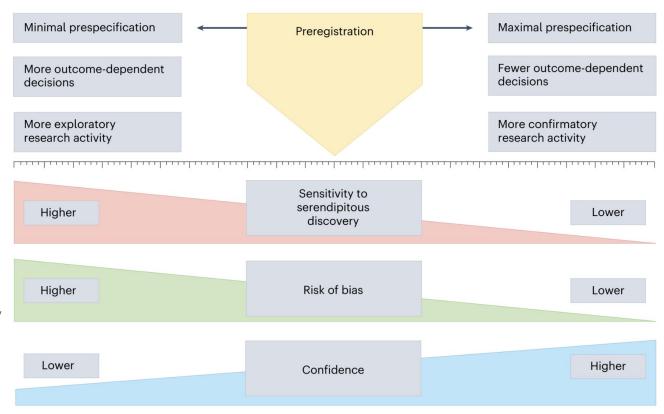
A garden of forking paths



Gelman, A., & Loken, E. (2013). The garden of forking paths: Why multiple comparisons can be a problem, even when there is no "fishing expedition" or "p-hacking" and the research hypothesis was posited ahead of time. Department of Statistics, Columbia University. Retrieved from

http://www.stat.columbia.edu/~gelman/research/unpublished/p\_hacking.pdf

### Many ways/whys/hows for preregistration



(Hardwicke, T.E., Wagenmakers, EJ. Reducing bias, increasing transparency and calibrating confidence with preregistration. Nat Hum Behav 7, 15–26 (2023). https://doi.org/10.1038/s4156 2-022-01497-2)

# Navigating Preregistration Workflows on the OSF



# Preregistration Challenges and Solutions

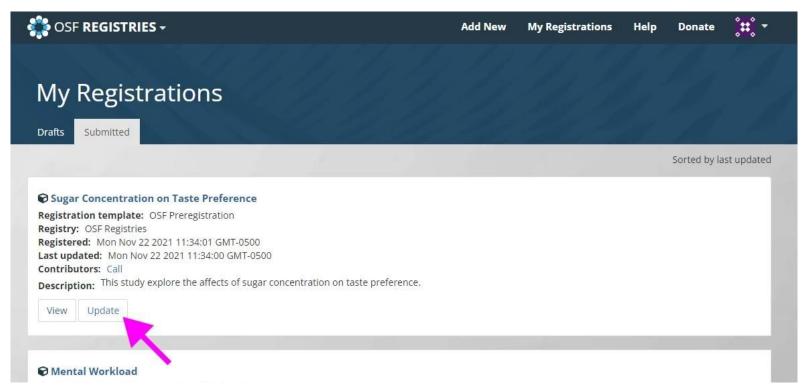


# Challenge: I need to update my preregistration!

Things could change between the time you preregister and your analyses. For example, you could:

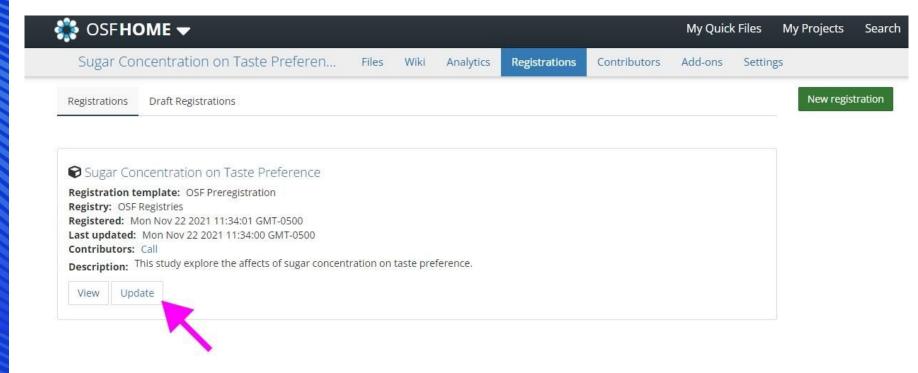
- notice an error in your preregistration
- decide to add analyses
- learn about a more appropriate data analysis strategy
- realize you have to make adjustments to your design

# Solution: Update the preregistration



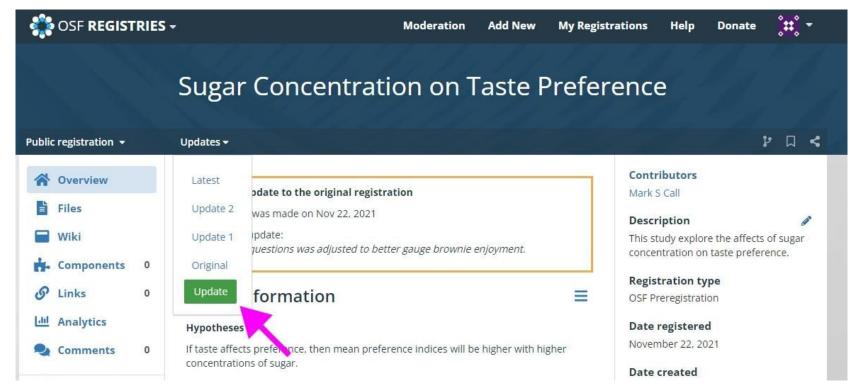
Remember that any changes should be accompanied by a justification.

# Solution: Update the preregistration



Remember that any changes should be accompanied by a justification.

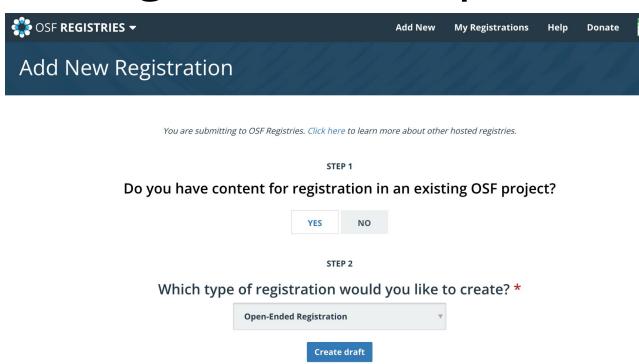
# Solution: Update the preregistration



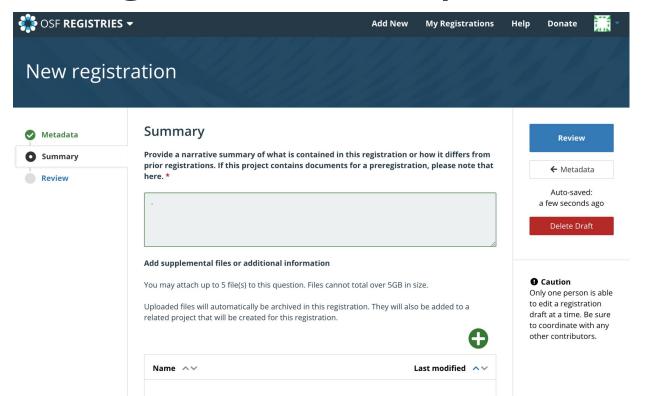
Remember that any changes should be accompanied by a justification.

# Challenge: There isn't a template for my kind of research!

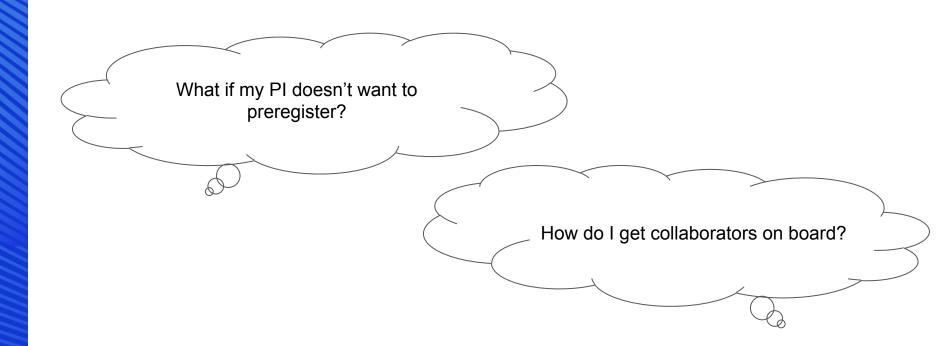
# Potential Solutions: Use the open ended registration template



# Potential Solutions: Use the open ended registration template



# Challenge: How do I convince collaborators/my PI?



### Potential Solutions: Discussions



# Question: Can I preregister in a different language?

I'm more comfortable writing about research in a non-English language. Is that okay?

# Potential Solutions: English metadata

Preregister in the language you feel you can be most accurate in - then include both that language and English in the metadata, especially in tags

Metadata	Registration Metadata
Study Inform	This metadata applies only to the registration you are creating, and will not be applied to your project.
Design Plan	Title *
Sampling Pl	Untitled
Variables	Description *
Analysis Pla	
Other	
Review	
	Contributors

### Other considerations

- What language(s) does your funder accept?
- What language(s) does the journal you want to submit to accept?
- If you register in multiple languages, you will need to make any updates in both languages

# QSIA

